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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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EXAMINER

GARCIA, M
ART UNIT PAPER NUMBER

1627
DATE MAILED:

12/18/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.

09/029,830

Applicant(s)

Kask

Examiner

Maurie E. Garcia, Ph. D.

Group Art Unit

1627



☒ Responsive to communication(s) filed on Oct 2, 2000

☒ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle* 35 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire THREE month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claim

☒ Claim(s) 1-20 is/are pending in the applicat

Of the above, claim(s) _____ is/are withdrawn from consideration

☐ Claim(s) _____ is/are allowed.

☒ Claim(s) 1-20 is/are rejected.

☐ Claim(s) _____ is/are objected to.

☐ Claims _____ are subject to restriction or election requirement.

Application Papers

☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on _____ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some* ☒ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) _____

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

☐ Notice of References Cited, PTO-892

☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). _____

☐ Interview Summary, PTO-413

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

DETAILED ACTION

Please note: The number of Art Unit 1618 has been changed to 1627. Please direct all correspondence for this case to **Art Unit 1627**.

Continued Prosecution Application

1. The request filed on October 2, 2000 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 09/029,830 is acceptable and a CPA has been established. An action on the CPA follows.
2. Claims 21-28 were cancelled in the Preliminary Amendment filed with the above request on October 2, 2000. Thus, claims 1-20 are now pending in the instant case.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
4. Claims 1, 3, 5, 6, 11, 12, 13, 15-18 and 20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

A. In claim 3 the term “units” is indefinite. It is not clear what the metes and bounds are of this term and hence, what constitutes the claimed invention. Therefore, one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. In reviewing the specification, the term “units of sample” is defined on pages 3-4 but it is defined so broadly as to still render the claim indefinite. The term is confusing, since from the definition in the specification it would seem that “units” are subparts of a sample but subparts could be construed to mean monomers of a polymer, or different molecular components of an aggregate or a metal and its chelant, etc. It is additionally unclear what is meant by “samples having units” – is this one sample with many units, many samples with one unit or many samples with many units? Also, are the units all the same or different? Since both of the terms are plural, it is difficult to ascertain the meaning of this phrase.

B. In claim 3 the term “centers” is indefinite. It is simply unclear what is meant by this term and a definition could not be found in the specification.

C. Claim 5 lacks antecedent basis for the term “species”. This term is not mentioned in claim 1, from which claim 3 depends.

D. Regarding claim 6, the phrase “in particular” renders the claim indefinite. A broad range or limitation together with a narrow range or limitation that falls within the broad range or limitation (in the same claim) is considered indefinite, since the resulting claim does not clearly set forth the metes and bounds of the patent protection desired.

Note the explanation given by the Board of Patent Appeals and Interferences in *Ex parte*

Wu, 10 USPQ2d 2031, 2033 (Bd. Pat. App. & Inter. 1989), as to where broad language is followed by "such as" and then narrow language. The Board stated that this can render a claim indefinite by raising a question or doubt as to whether the feature introduced by such language is (a) merely exemplary of the remainder of the claim, and therefore not required, or (b) a required feature of the claims. Note also, for example, the decisions of *Ex parte Steigewald*, 131 USPQ 74 (Bd. App. 1961); *Ex parte Hall*, 83 USPQ 38 (Bd. App. 1948); and *Ex parte Hasche*, 86 USPQ 481 (Bd. App. 1949).

E. In Claim 11 the phrase "the sample is actively transported" is indefinite. It is unclear what this term means, especially since the phrase "being actively transported into and out of said measurement volume" precedes the phrase in question. Are these two phrases supposed to be identical in meaning? The difference between them is unclear.

F. Regarding claim 12, the phrases "in particular" and "preferably" render the claim indefinite because it is unclear whether the limitation(s) following the phrases are part of the claimed invention. See MPEP § 2173.05(d). See also Rejection D above.

G. In claim 13 the term "optionally" is indefinite because it is unclear what applicant intends. If an element is truly optional, i.e. its presence is not necessary for attainment of the result that is the object of the invention, then recitation of such should not be made in the claims. Otherwise, the examiner suggests that the variant should be written as a dependent claim using "further comprising" language. It is also unclear exactly how the confocal microscope set-up is supposed to be used in the method.

H. Claims 15-18 are indefinite because they lack antecedent basis and/or are improperly dependent. These claims recite terms that are not mentioned in claim 1, from which they all depend. They appear to be dependent on the wrong claim, as they mention terms that are found in other dependent claims and the current dependency is confusing. For example, claim 15 mentions fluorescence, claim 16 a spatial brightness function and optical set-up, claim 17 a pinhole and a microscope and claim 18 an incident laser beam.

I. Claim 20 is indefinite because it lacks antecedent basis and/or are improperly dependent. The claim recites the term "said distribution", which is not mentioned in claim 1 from which it depends. The claim appears to be dependent on the wrong claim; the current dependency is confusing.

5. It should be noted that in art rejections that follow, the examiner is interpreting the claims in their broadest sense as written. Since it is unclear what the meaning of "units" is (see Rejection A above), this term is taken to mean any subpart of a sample that can emit, scatter or reflect radiation (i.e. fluorescent or luminescent molecules).

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 1-5, 8-14 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Nie *et al* (Anal. Chem., 1995, Vol. 67, pp. 2849-2857).

Nie *et al* teaches a method very similar to the claimed method. Detection of single molecules in solution is performed, with the molecules in question being biomolecules or dyes (see page 2851). Confocal fluorescence microscopy is used for the detection and concentration measurements (see page 2852). Volumes are determined by the optics of the set-up (see Figure 1) which is very similar to that recited in the instant claim 13. Molecules with multiple binding sites are discussed and the molecules are in motion during the measurement (page 2853 and Figure 5). The molecules are arranged on a glass slide (two-dimensional carrier).

8. Claims 1-6 and 8-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Ishikawa *et al* (EP 0601714 A1, Hamamatsu Photonics K.K. Published: 6/15/94).

Ishikawa *et al* also teaches a method very similar to the claimed method (see Abstract and Figure 2). Fluorescence is used to confirm the presence and concentration of molecules, especially biomolecules. The set-up is very similar to that recited in the instant claim 13 (see Figures 1-2 and page 5). The detection of molecules is described on pages 5-9, in particular the detection of biotin-avidin complexes (page 7, lines 35-44).

Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable Nie *et al* (Anal. Chem., 1995, Vol. 67, pp. 2849-2857) in view of Nag (US 5,763,585 Issued: 6/9/98, Filed: 4/14/94).

Nag teaches "his tags" and conjugates thereof with Ni-NTA (see columns 12-13). These complexes are well known in the art. Nag lacks the teaching of detecting these complexes using the fluorescent methods of the instant invention. However, Nie *et al* teaches a method for analyzing biomolecules in such a manner; this system would work with any complex. Therefore, it would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made to detect the conjugates of Nag using the method of Nie *et al*. A person of ordinary skill in the art would have been motivated to use the method of Nie *et al* in order to be able to detect the compounds at very low concentrations.

Status of Claims/ Conclusion


11. No claims are allowed.

12. This is a continuation of applicant's earlier Application No. 09/029,830. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

13. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maurie E. Garcia, Ph.D. whose telephone number is (703) 308-0065. The examiner can normally be reached on Monday-Thursday from 9:30 – 7:00 and on alternate Fridays.

15. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jyothsna Venkat, can be reached on (703) 308-2439. The fax phone number for the organization where this application or proceeding is assigned is (703) 308-4242. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.


DR. JYOTHSNA VENKAT PH.D
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600

Maurie E. Garcia, Ph.D.
December 12, 2000